

FEB 19 2002
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,384	11/16/2001	Seth Stern	01-053120US

22798
 LAW OFFICES OF JONATHAN ALAN QUINE
 P O BOX 458
 ALAMEDA, CA 94501

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FORMALITIES LETTER



OC000000007164191*

CONFIRMATION NO. 4931

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2098.
 - \$1818 for 101 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2968.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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03 FC:105		130.00	CH	
04 FC:104		280.00	CH	

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**TRANSMITTAL
FORM**

FEB 19 2002

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/093,384
Filing Date	November 16, 2001
First Named Inventor	Seth Stern
Group Art Unit	1743
Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number
	01-053120US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Signed Declaration, Copy of signed Assignment, Statement Under 37 CFR 3.73(b) and receipt acknowledgment postcard and copy of Notice to File Missing Parts
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Authorization to Charge Deposit Account
Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Christopher C. Sappenfield, Reg. No. 45,073, Quine Intellectual Property Law Group P.C.
Signature	
Date	1-31-02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	Evelyn Gomez
Signature	
Date	1-31-02

O I P E
FEB 19 2002
JC97

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on 1-31-2002

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QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By Evelyn Gomez

Evelyn Gomez

Attorney Docket No. 01-053120US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Seth Stern et al.

Examiner: Unassigned

Application No.: 09/993,384
Filed: November 16, 2001

Art Unit: 1743

For: **METHODS AND DEVICES FOR
DISPERSION-CONTROLLED
TRANSPORT OR PROCESSING OF
POLAR OR POLARIZABLE
MATERIALS**

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated December 6, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
(+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Transmittal Sheet
- 5) Receipt indication postcard

Seth Stern et al.
Application No.: 09/993,384
Page 2

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Please charge Deposit Account No. 50-0893 for the following fees:

Large entity:	(a) Filing Fee (§ 1.16(a)) (Large Entity)	\$ 740.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	
	117 - 20 = 97 x 18 =	\$ 1746.00
	3 - 3 = ____ x 84 =	\$ 0.00
	(c) Missing Parts Surcharge	\$ 130.00
	(d) Multiple Claim Surcharge	\$ 280.00
	TOTAL FEES TO BE CHARGED	\$2896.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. *This Transmittal Letter is submitted in duplicate.(if not submitting fee transmittal)*

Respectfully submitted,

Christopher C. Sappenfield
Reg. No. 45,073

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